

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

Attorney Docket No. 05014

U.S. Application No. (if known,
see 37 CFR 1.55)**10/524774**INTERNATIONAL APPLICATION NO.
PCT/DE2003/002732INTERNATIONAL FILING DATE
August 14, 2003PRIORITY DATE CLAIMED
August 16, 2002

TITLE OF INVENTION

TRANSMISSION DEVICE FOR INTRODUCING LIGHT INTO AN EAR

APPLICANT(S) FOR DO/EO/US

Andreas MAYER-GINDNER, Winfried GRANGET & Marius-Kurt MIHAI

Applicant herewith submits to the United States Designated Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (only if not required by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ As assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable sequence listing in accordance with PCT Rule 13^{ter}.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 USC 154(d)(4).
19. ☐ A second copy of the English translation of the international application under 35 USC 154(d)(4).
20. ☐ Other items or information:

Customer No.

23338

PATENT AND TRADEMARK OFFICE

| | | | | | |
|---|--------------|--|------------------------|--------------------------------|--|
| US APPLICATION NO. (if known) 10/524774 | | INTERNATIONAL APPLICATION NO. PCT/DE2003/002732 | | ATTORNEY'S DOCKET NO. 05014 | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: | | | | | |
| <input checked="" type="checkbox"/> a) Basic National Fee | | \$300.00 | \$300.00 | | |
| <input checked="" type="checkbox"/> b) Examination Fee | | \$200.00 | \$200.00 | | |
| <input checked="" type="checkbox"/> c) Search Fee | | \$500.00 | \$500.00 | | |
| TOTAL OF ABOVE CALCULATIONS | | \$1000.00 | \$1000.00 | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250 for each additional 50 pages or fraction thereof. | | | \$ | | |
| Surcharge of \$130.00 for furnishing oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | \$130.00 | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | 11 -20= | | X \$50.00 | \$ | |
| Independent Claims | 1 -3= | | X \$200.00 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | \$360.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | \$1130.00 | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | \$ | | |
| SUBTOTAL = | | | \$1130.00 | | |
| Processing fee of \$130.00 for furnishing English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | \$ | | |
| TOTAL NATIONAL FEE = | | | \$1130.00 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). | | | \$ | | |
| TOTAL FEES ENCLOSED = | | | \$1130.00 | | |
| | | | Amount to be refunded: | \$ | |
| | | | charged: | \$ | |

- a. ☐ A check in the amount of \$ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 04-0753 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0753. A duplicate copy of this sheet is enclosed.
- d. ☒ A payment of \$ 1130.00 is made by credit card. A Credit Card Payment Form (PTO-2038) is attached hereto. The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or any patent application processing fees under 37 CFR 1.17, or credit any over payment to the credit card account shown on the attached Credit Card Payment Form. Refund of all amounts overpaid, including those of twenty-five dollars or less, is specifically requested. Any fees not accepted by the credit card shown on Form PTO-2038 may be charged to Deposit Account No. 04-0753.

PLEASE ASSOCIATE THIS APPLICATION WITH CUSTOMER NUMBER 23338 AND CONDUCT CORRESPONDENCE WITH THE UNDERSIGNED ATTORNEY.

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SIGNATURE

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NAME

28666

REGISTRATION NUMBER